

### Annexure-V

(Ref. paragraph 8 of the Guidelines)

#### Statements / Returns to be submitted by Primary Dealers to various Departments of Reserve Bank of India

Sr. No.	Return/Report	Periodicity	To be filed with Deptt.	Reference under which required
1	PDR-I	Daily	IDMC	<b>PD Guidelines</b>
2	PDR-II	Monthly		
3	PDR-III	Quarterly		
4	Market Report	Daily		
5	Annual Report & Annual Audited A/cs	Annual		
6	Half-yearly Accounts	Half-yearly		
7	Auditor's Certificate on Net Owned Funds	Yearly		
8	Reconciliation of holdings of Govt. Securities in Own A/c and constituent A/c	Yearly	IDMC	<b>IDMC.No.PDRS/2049A /03.64.00/99-2000 dated December 31, 1999</b>
9	Return on FRAs / IRS	Fortnightly	MPD and IDMC	<b>MPD.BC.187/07.01.279 /1999-2000 dated July 7, 1999.</b>
10	Deviations reported by Concurrent auditors on sale transactions of securities allotted in Primary Issues on the same day	Monthly	IDMC	<b>IDMC.PDRS.No.PDS.1 /03.64.00/2000-2001 dated October 6, 2000</b>
11	Statement of Transactions effected between Gilt A/c holders	Weekly	IDMC	<b>PDO/SGL/CIRR.No. 07.01.13/2950/2000-2001 dated November 8, 2000.</b>
12	Statement showing balances of Govt. Securities held on behalf of each Gilt A/c holder	Half-Yearly	PDO	
13	Return on Call Money transactions with Commercial Banks	Fortnightly	DEAP, DMB	
14	Daily Return on Call/Notice/Term Money Transactions	Daily	MPD	
15	Call and Notice Money Operations during the Fortnight	Fortnightly		
16	Total Investments and Resources invested in short-term Instruments	Monthly		
17	Information for Issue of Commercial Paper	On each issue of CP	IECD	<b>IECD.2/08.15.01/2001-02 dated July 23, 2001</b>

Note: - PDs registered as NBFCs with authorisation to accept public deposits will have to submit the returns/statements as required by Department of Non-Banking Supervision, even if they do not accept the public deposits.