

Annex VII**Format - PDR IV Return****Name of the Primary Dealer :****Quarterly return on select Financial & Balance Sheet indicators for quarter ended****(Rs. in crore)**

<u>I. BALANCE SHEET INDICATORS</u>	Quarter ended (cumulative)	Previous Quarter
<i>SOURCES OF FUNDS</i> Share Capital Reserves & Surplus Deposits, if any Secured loans Unsecured loans TOTAL <i>APPLICATION OF FUNDS</i> Fixed Assets <div><div>a)Tangible asset Gross Block (a+b) less Depreciation Net block b) In tangible asset Add Capital work in progress</div></div> Investments <div><div>a. Govt. Securities 1. Dated GOI securities 2. State Govt. Securities 3. T-bills b. Others (Specify)</div></div> Current Assets, Loans and Advances (A) Current Assets Accrued Interest Stock-in-Trade: <div><div>i) T bills 91 days ii) T bills 182 days iii) T bills 364 days iv) CMBs v) Dated G-Sec vi) IIBs vii) CDs viii)CPs ix) Corporate bonds & Debentures x) Equity shares xi) Others</div></div> Sundry Debtors Other Assets		

Cash& Bank balance		
(B) Loans & Advances		
Less:		
Other Current Liabilities		
Provisions		
Net Current Assets		
Deferred Tax		
Miscellaneous Expenses not written off		

Others (specify)		
TOTAL		
<u>II. P& L INDICATORS</u>	Quarter ended (cumulative)	Previous Quarter
<i>INCOME</i>		
Discount Income		
1. G-sec		
2. CPs		
3. CDs		
4. Others		
Interest Income		
1. G-sec(excluding IIBs)		
2. IIBs		
3. Call/Term		
4. Repo		
5. Corporate Bonds		
6. Others		
Trading Profits		
1. G-sec (excluding IIBs)		
2. IIBs		
3. CPs,		
4. CDs		
5. Derivatives		
6. Others		
Other Income		
1. G-sec		
2. Others (specify)		
TOTAL INCOME		
<i>EXPENDITURE</i>		
Interest Expenses		
1. Call/Term		

<div> <div>2. Repo</div> <div>3. Borrowing from RBI</div> <div>4.CBLO</div> <div>5. Others</div> </div> <div> Operating Expenses Establishment & Administrative Expenses Provisions against doubtful assets Depreciation on Fixed Assets Other expenses (specify) </div> <div> TOTAL EXPENDITURE MTM: Loss or Gain PROFIT BEFORE TAX Less: <div> a. provision for taxation b. deferred tax </div> PROFIT AFTER TAX </div>		
<u>III. FINANCIAL INDICATORS</u>		
<div> Certain Key Figures Dividend paid/proposed Retained earnings Average Earning assets Average Non-earning assets *** Average total assets </div> <div> <div> 1. Average dated G-sec (Central and State) 2. Average T-Bills 3. Other average assets </div> **** Average Interest bearing liabilities <div> 1. Call borrowing 2. Repo 3. Borrowing from RBI 4. Others </div> Average yield on assets <div>(Total interest income/Average Earning Assets)</div> Average cost of funds <div>(Total interest expended/Average interest bearing liabilities)</div> Net interest income Non-interest income Non-interest expenditure Net total income </div>		

Measures of Return Return on Assets Before tax (PBT/Ave.Total Assets) After tax (PAT/Ave.Total Assets) Return on average Equity Before tax (PBT/Ave.Equity) After tax (PAT/Ave.Equity) Return on Capital Employed Before tax (PBT/(Owners' Equity+Total Debt)) After Tax (PAT/(Owners' Equity+Total Debt)) Net Margin Analysis Net Margin (PAT/Total Income) Interest expenses/Total income		
<u>IV. PERFORMANCE INDICATORS</u>	Quarter ended (cumulative)	Previous Quarter
NOF (Rs. in crore)		
CRAR (as %) Average duration of the Portfolio (in years) Average leverage (as ratio) Effect of 1% shock in yields on portfolio value (Rs. in crore) MTM value of all securities (Rs. in crore) a. T bill 91 days b. T bill 182 days c. T bill 364 days d. CMBs e. Dated G- Sec f. IIBs g. CPs h. CDs i. Corporate bonds j. Others		

Notes:

1. The details of share capital, reserves, etc. may be enclosed as Annexes.
2. Where average figures are involved, it may be taken to mean as average of month end balances.

*** Average assets refer to the simple average of month end book balance.

***** Average liabilities refer to the simple average of month end book balance.

***** Before adjusting Repo transactions and MTM depreciation on IRS transactions.

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